PLON AND FRACTURE IN SPINE, STRUCTURED CERANICS

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Hayne Falsour III Chief Investigator

TECHNICAL REPORT NO. 1

"HULTIPLE SLIP PROCESSES IN MAGNESIUM ALUMINATE
AT HIGH TEMPERATURES"

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August, 1965

Department of Engineering Research North Caroline State University at Raleigh (U.S.A.)

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By

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ABSTRACT

Evidences obtained from high purity MgAl₂O_L coramics deformed at high temperatures are presented which confirm theoretically predicted multiple (111)[110] slip systems for spinel. Stress-strain and kinetic data and microstructural examinations indicate that spinel, when sufficiently pure, does must the Taylor-von Mises criterion for generalized plastic flow of a polycrystalline solid.

IFTRODUCTION

A paper presented in the Special Caramics Symposium at Stoke-on-Trent in June 1964 described N. C. State University's interest in spinel. It placed special emphasis on variations in strength and other mechanical properties of polycrystalline magnesium aluminate which can be attributed to the composition and homogenisty of starting materials, fabrication history, and resultant microstructure. Much of that prior paper was, in face, converned with efforts to develop and characterise "well behaved" polycrystalline spinel ceramics, so that research on high temperature flow and fracture could be earcied out under controlled conditions. Such studies with polycrystalline spinel, and parallel ones with single crystals, are now sufficiently advanced to provide the basis of this report of ductility in fine grained spinel ceramics at temperatures above approximately 0.7 T_m.

DEFORMATION IN CERAMICS

If structural integrity is to be preserved, grains within a glass-free polycrystalline solid must be able to undergo progressive changes in shape during deformation. If grains cannot deform, then translation, rotation and separation of individual grains must insvitably occur as strain progresses. Most crystalline ceramics cannot be permanently deformed by grain boundary cliding alone, even at high temperatures, without progressive grain boundary separation and eventual gross fracture. 2, 3, 4 The bulk volume must increase as separations form along the boundaries, and of course, any long narrow would in a boundary becomes mechanically equivalent to a propagatable crack under an appropriate applied stress. 5

Two quite different mechanisms may be available at high temperature for accomplishing generalized changes of grain shape in crystalline ceremics, thus preserving structural integrity. In the first example, enhanced ionic mobility at elevated temperatures leads to diffusion processes capable of achieving mass transport from regions under compression toward tension regions of the stress field. Such The second flow process by which structural integrity can be preserved during deformation involves crystalline plasticity. For plesticity, mobility of dislocations is a first requirement, one which can be attained in most crystalline solids by sufficient thermal activation. In single crystal form, anisotropic refractory oxides and even covalent-bonded carbides can demonstrate considerable high temperature ductility in orientations favorable for slip of dislocations.

In a polycrystalline solid, however, constraints to slip are developed between neighboring grains having randomly different orientations; high angle boundaries between such grains act as very effective barriers to oncoming dislocations. In such a situation, thermally activated dislocation mobility alone is not sufficient for constant volume plastic flow, but there also must be a sufficient number of independent slip systems to achieve a remeralised and self-contained change in grain shaps. This limiting geometric condition is expressed in the Taylor-Von Mises criterion, 1, 9, 10 which specifies that at least five independent systems are required for polycrystal-line plasticity. According to Groves and Kelly, 11 none of the common

Chang the han described steady state creep in plastically deforming ceramic solids at some high temperature as that stress-strain rate condition where the rate of surk hardening due to pilemp of dislocations at slip bands, grain boundaries and other obstacles in exactly matched by the rate of recovery, i.e., the rate at which dislocation "debris" can be dissipated at that temperature by diffusional processes. The earlier Weertman 15 analysis for creep invoked another diffusional process, climb of dislocations into unused slip planes, as the sate controlling step.

Plastic creep in polycrystalline solids is characterized by stoop logarithmic strain rate-stress slopes (generally on the order of 3 to 6), and by an activation energy higher than that for plastic flow in a single crystal. Its magnitude is usually on the order of the activation energy for self-diffusion for the slow moving ionic or atomic species. Under favorable temperature-stress conditions, strain rates in the fractional inch per inch per minute range are typical for steady state plastic flow, and for metals, may be one or more orders of magnitude bigher.

The spinel structure is almost unique among ceremic crystal types in that its dislocations are arranged somewhat like those of a face centered cubic metal. Its structure is complex, but the (111) slip planes are anion close-packed planes, and [110] oxygen-oxygen directions are slip directions. 16, 18 These multiple (111)[110] slip eye-term are schematically illustrated for unlaxial loading along [110], [111], and [100] in Figures 1, 2, and 5 respectively.

In the [110] case, two slip planes are equally oriented to receive shear stress, and each has two equally stressed Burgers vectors along which slip can occur; these two planes contain four independent slip systems. The two remaining planes are parallel to the load axis so that dislocations lying in them are subject to normal rather than shear stresses, and hence should not glide. This orientation does, however, present a classical opportunity for a special type of dislocation motion called kinking to occur. 17

when the load axis coincides with [111], as in Figure 2, there are three equally oriented planes subject to shear, and each as two operative Burgers vectors. In this case, six independent systems are evident.

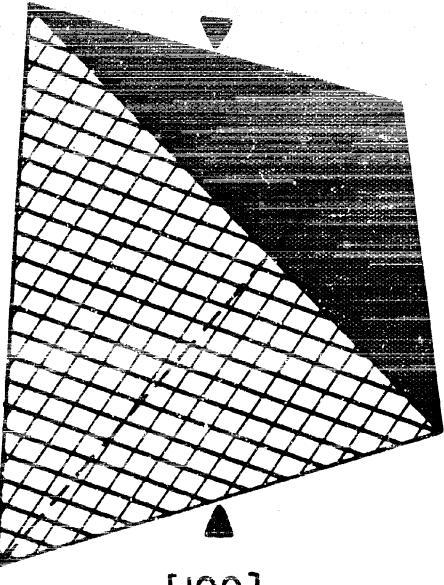
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For the [100] load direction (Fig. 3), introqually oriented (111) planes are in shear geometry, and each has two favorably oriented Bargers vector directions. In this direction, eight independent slip

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Figure 1. Commetry for Slip in Spinel, Uniaxial Normal Stress along [110]. Upper and least triangles each have two equivalent operative slip systems with Burgare vectors perpendicular to each of the converging sides. Front and back triangles receive only normal attrees; dislocations on them are immoble unless local misorientation or lateral shear initiates kinking. Four systems operative,

Figure 2. Geometry for Slip in Spinel, Uniaxial Morsal Stress along [111]. Three triangles converging at apex each have two equivalent operative slip systems with Burgers vectors perallel to each of the converging sides. Bottom triangle receives only normal stress. Six systems operative.



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[100]

Figure 3. (monstry for Slip in Spinel, Uniaxial Sormal Stress along [100]. All four triangles receive shear stress, and each has two equivalent operative slip systems. Night systems operative.

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Table 1 summarizes operative alip systems and resolved shear stresses and strains for unlaxial stress applied parallel to these lew index directions in spinal.

Compression of Single Chystels

Spinal crystals uniaxially deformed about his in compression parallel to [110], [111], and [100] directions at temperatures above 1550°C at strain rates between 0.001 min⁻¹ and 0.1 min⁻¹ demonstrate just such behavior. The morphology after deformation is entirely consistent with that predicted from these geometrical considerations of slip, even to the consistently observed broadening of the (110) face by interpenetrating slip and the concurrent kinking visible on the orthogonal (010) face for crystals stressed on [110] when misorientations of an little as 5°, or socidentally applied shear stresses, have been present.

Single crystal studies are being carried out in our laboratory with specimens out from one large boule of an alumina-rich spinel solid solution (\$120, 200 2.9:1). Spinel crystals with 1:1 stoichicestry of a size and quality suitable for such deformation studies have not been successfully synthesized. Consequently, these deformation studies are being carried out above 1550°C (approached at a

^{*}R. Douglas McBrayer, Posteral Dissertation. To be published.

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Fines	!	œ	œ	ю
Load	[100]	[110]		[1]

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rate of 50°C min⁻¹) to avoid exsolution of hyperstoichicmetric simmina as a second phase. Rapid cooling of the furnace after completion of a test does not entirely eliminate exsolution at surface discontinuities remanent from grinding and poliching.

A typical stress-strain plot for one such single crystal specimen is illustrated in Figure 4. The yield stress was very low, and the region up to 3% strain (note compressed scale) is attributed to work hardening. It was followed by a steady state creep region at a flow stress of 8000 psi, which continued until the specimen was unleaded after 5% strain. Temperature fluctuations are responsible for stress deviations in the steady state region.

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Compression of Polycrystelline Spinel

A comparable study of high temperature deformation in polycrystalline spinel has also been carried out, and will form the basis of a subsequent paper. Careful microstructural examinations by fractegraphy, of and, more recently, of thermally and/or chemically etched specimens deformed under various conditions, have yielded conclusive evidences of truly plastic behavior in polycrystalline spinel.

Coarse grained specimens (d=200 at particular are rich with examples of wavy slip, localized recrystallization, generation of grain boundary separations and Stron-Petch oracks (traversing the boundaries) in heavily worked regions. Figure h illustrates the marked

[&]quot;Dong N. Chei, Doctoral Dissertation. To be published.

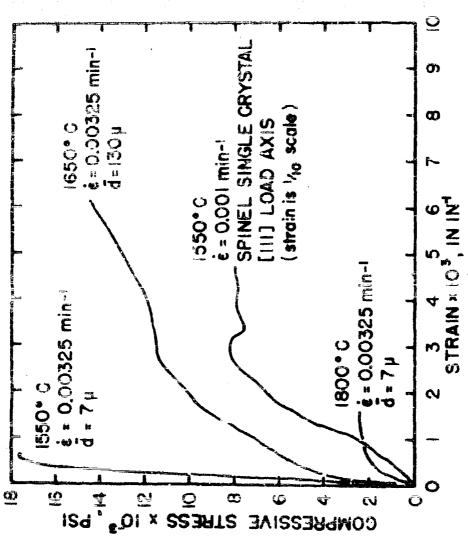


Figure 4. Stress-Strain Diagrame for Single Organal and Polyergstallina Spinal. Specimens deformed in compression above 1550°C.

Kinetic Considerations of Flow in Dense Polycrystalline Spinel

As a working model for the flow process in polycrystalline spinel
a phenomenological strain-rate equation is proposed which is analogous
to the Dorn²² creep rate expression but with the addition of an expression (d^{-M}) to describe the effect of grain size:

$$\dot{z} = Kg^{-1} e^{-Q/RT} d^{-m} \tag{1}$$

In logarithmic form, and with the dependent experimental variable (G., the flow stress) transposed to the left hand member, the simplest expression becomes:

$$\ln \mathbf{g} = -\left(\frac{1}{n}\right) \ln \mathbf{K} + \left(\frac{1}{n}\right) \ln \frac{2}{n} + \left(\frac{Q}{nR}\right) \frac{1}{T} + \left(\frac{m}{n}\right) \ln d \qquad (2)$$

Since there is a considerable likelihood of higher order effect.

and particularly of interactions between variables (e.g., a temperature-grain size interaction influencing flow stress might come about
if grain size or grain shape is altered as a function of the test temperature), the high temperature compression experiments have been

designed for analysis in terms of the expended Taylor's series mudel commonly used in data reduction by statistical methods: 20, 24

$$I = b_{0} + b_{1}X_{1} + b_{2}X_{1}^{2} + b_{3}X_{2} + b_{1}X_{2}^{2} + b_{5}X_{3} + b_{6}X_{3}^{2}$$

$$+ b_{7}X_{1}X_{2} + b_{8}X_{1}X_{3} + b_{9}X_{2}X_{3}$$
(3)

diere

$$X_1 = f \text{ (in $\hat{\epsilon}$) 0.0005 min}^{-1} $\hat{\epsilon} \text{ 0.05 min}^{-1}$$

$$X_2 = f \frac{1}{T} \quad 1626 \text{ f} \quad T \quad 8073^{\circ}\text{K}$$

$$X_3 = f \text{ (ln d) 0.5} \quad d \quad 200$$

If the coefficients of the quadratic and interaction terms should be insignificant, then the simplest model (Eq. 2) will have been shown to be valid, and

$$I = \ln G$$

$$b_0 = \frac{-\ln K}{n}$$

$$c_1 = \frac{1}{n}$$

$$b_3 = \frac{Q}{n}$$

$$b_5 = \frac{-m}{n}$$
(h)

thus providing the quantities K, n, Q, and n which will serve to describe the kinetics of the process. However, should any of the quadratic (e.g., X_2^2) or interaction terms (e.g., X_1^2) prove to be statistically significant, the simplest model cannot be justifiably employed, and it will be necessary to develop a more sophisticated interpretation of the flew process.

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In recent emportments, polycrystallina spinal also has been deformed in transverse bending at temperatures ranging from 1450°C to 1700°C. These tests were carried out in a vacuum furnace operating 2 x 10 5 torr, as were the compression studies already described. The furnace is fitted on an Instron physical testing machine. " as shown in Figure 5. The combined assembly and its associated instrumentation provide measurement, control, and/or programming capability over temperature (to 2500°C), strain rate (2 in. min and downward by about five orders of magnitude) and load (sensitivity from 1 to 10,000 nounds full scale). Stabilized water pressure is provided to eliminate fluctuations in applied stress attributable to langth changes in the water-cooled load column. Much care is exercised in achieving axial alignment, and it is also necessary to correct the load weighing system for the pull of the vacuum on the upper column which links the sample to the load cell. When these precautions have been taken, the uncertainty in measuring applied load is on the order of one pound or less, and is attributable principally to the frictional drag of O-ring seals through which the load columns must move.

Model 1004 vacuum furnace, a product of the Richard Brew Co., Concord, N. H.

Hodel TTCIM, a product of Instrum Engineering Corp., Canton,

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Figure 5. High Vacuum, High Temperature Physical Testing Facility (Instron-Brew)

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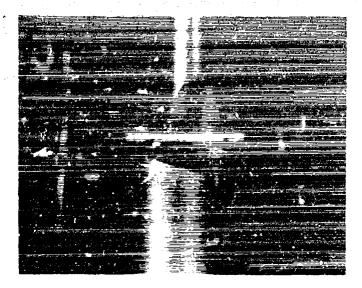
For these four-point bending experiments, a nelybdamus fixture (chem in Fig. 6) was employed. It provides a 1.000° spa. In the lower block, which is articulated on an internal ball and socket joint in such a way that it can adjust to evenly distribute load on the krife edges by tilting slightly fore and aft, and even more in the picture plane. However, it is pinned to prohibit rotation by more than a few degrees about the load axis. The upper push rod has a span of 0.500°, and is fixed in the machine in proper relationship with the lower block by use of an aligning jig. The 90° knife edges are rotated 15° from the vertical, and are dressed to provide a slight radius.

The specimens used were cut from a single piece of hot pressed spinel of >99.95% purity. Its average grain size was approximately $2/\sqrt{100}$, and its density was 97.4% of theoretical (based on 3.60 g/cc). The individual specimens were cut with a diamond saw, then ground flat and parallel on the beam faces to within $\frac{1}{2}$ 0.001%. Their nominal sixe was 0.690° x 0.360° x 1.30° . Retainers of 0.010° dis. molybdenum wire were exployed to keep the specimens in place on the bending jig.

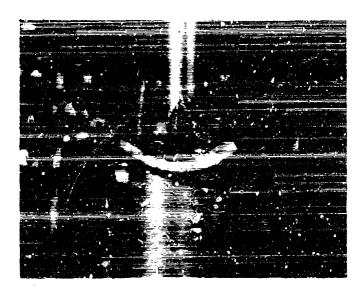
是不是我们就是我们是是自己的是是是是不是不是我们的是不是,也是我们就是我们的是我们的是我们的,也不是我们的是,这个是是我们的,这个人,我们也是我们是我们是我们的 一个人,我们就是我们是我们的是我们的是我们的是我们的,我们就是我们的是我们的是我们的是我们的,我们就是我们的是我们的,我们也是我们的是我们的是我们的是我们的是我们

After inserting the specimen and putting the furnace under vacuum, a small stress was applied and removed several times to establish the point of seve stress, and to reference strain recording storometers at the point of contact. The block was then lowered by an amount sufficient to insure that thereal expansion of the molybdenum load columns did not put the specimen under stress prior to the notual

STATES OF THE ST



(n)



(b)

Figure 5. Errorverse Benuing of Polycrystalline Spinel.

(a) Specimen in position prior to test.

(b) Specimen bent at 1700°C to 5% tensile at a nominal atrain rate of 0.01 min⁻¹. Four-point nolybdems bending jig; rear tentalms heating element are visible.

The tests were to a finished and a series

test. Heating at approximately 50°C/min was programed, using a W-W 26% Ra thermocouple input. A two-color pyrometer, sesentially free from emissivity affects, was used to record and cont-ol at the test temperature, and a disappearing filement optical pyrometer was umployed for an independent temperature check. Measurement and control under these conditions is considered to be reliable within ± 10°C in the absolute schee when sighting on a black body; very small changes in temperature (\$\sigma_{\sigma}^{\cup{0}}\$) in the relative sense can be detected and corrected. The semicolloidal earlier "Prodag" intended to provide a painted-on black body sighting spot in this experiment persistently diffused into the molybdenum, leaving a fairly bright molybdenum carbide surface, so that reflectivity from the hotter heating elements was not entirely excluded. Hence the indicated temperatures may have been somewhat higher than the specimens actually attained.

Figure 6b illustrates a specimen after approximately 5% outer fiber tensile strain, accomplished at 1700°C at strain rates which ranged from 0.00125 min⁻¹ to 0.01 min⁻¹. The flow stress with the latter strain rate was about 200 psi, with a very elight upward trend indicative of work hardening. At the conclusion of the test, the specimen was intest, with every indication of full retention of structural integrity.

^{*}Coloratio Pyrometer, Latronies Corp., Latrobe, Pa.

Figure 7 illustrates stress-strain relationships for this and some other specimens deformed in bending. There is marked temperature and strain rate dependence, and considerable evidence of work hardening at the lower temperatures and higher strain rates. Steady state flow stresses as low as 700 psi have been observed at 11,50°C, but only at very low strain rates. All stress, strain, and strain rate data reported from these bending experiments have been temperature corrected, 1.e., adjusted for dimensional changes in specimen and leading fixture induced by thermal expansion (see Eq. 5).

Kinetics of Deformation

Plots of in & as a function of infor for seven such bending experiments over the range 1050 - 1700°C are shown in Figure 8, and, for comparison, some preliminary data from McDrayer's deformation of [111] oriented single crystals and from Choi's compression tests on polycrystalline spinal are included. All show a strong stress-strain rate dependence, with the constant n always having values well above 2, with an average near 3.

In the bending experiments, it has been observed that n varies from just less than 2 to about 5 over individual sequences of the plot for a given specimen, depending upon whether the strain rate was being lowered (yielding how n values) or increased (high values). In these experiments, strain rate was normally changed abruptly by a factor of two, either halving or doubling, without unloading the specimen.

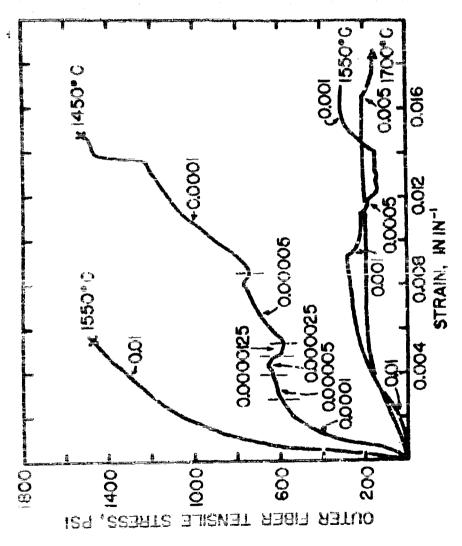
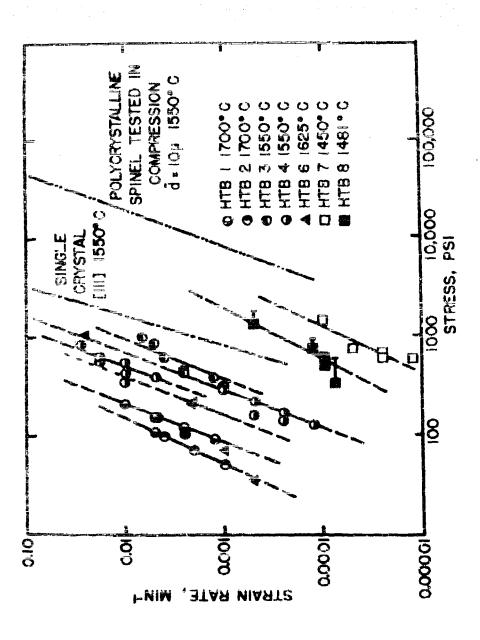


Figure 7. Stress-Strain Diagrams for Polycrystallins Spizal Esformed in Transverse Bending above 1450°C. Vertical marks denote change in strain rate; unmbers indicate applicable strain rates (in. in min min).



Spinel Deformed in Bending (HTB Series). Includes compara-tive data for compressive flow in single orystal spinal (after McBrayer) and polymerstalline spinel (after dick) Logarithmic Strain Mave-Stress Plot for Polyorystalline Figure 8.

$$\hat{\epsilon} \approx 8.75 \times 10^{-10} \, \text{c}^{-2.7}$$
 (5)

= outer fiber tensile stress = 3 P a F₁ h h²

outer fiber tensile strain rate (min) = 6 h Ay 7,

moment length = $\frac{1_j - 1_2}{2}$ = 0.250

load (pounds) h = height (in.)

59 = crosshead strain rate (in. win 1) breadth (in.)

 F_{1} = thermal correction factor for stress = $\frac{1 + \alpha_{2} E}{(1 + \alpha_{1} E)^{3}}$

thermal correction factor for strain = $\frac{1 + \alpha_1}{(1 + \alpha_2)^2}$

- coefficient of linear thermal expansion for spinel -7.9 × 10-6 °c-1. 25

- coefficient of linear thorsal expansion for molybdenum -5.7 x 10-5 °c-1.

AT - difference between heat and room temperatures, °C. A plot of ln $\dot{\epsilon}$ as a faxition of $\frac{1}{c}$ for three levels of flow stress in bending at temperatures of 1550°C and shows is shown in Figure 9.

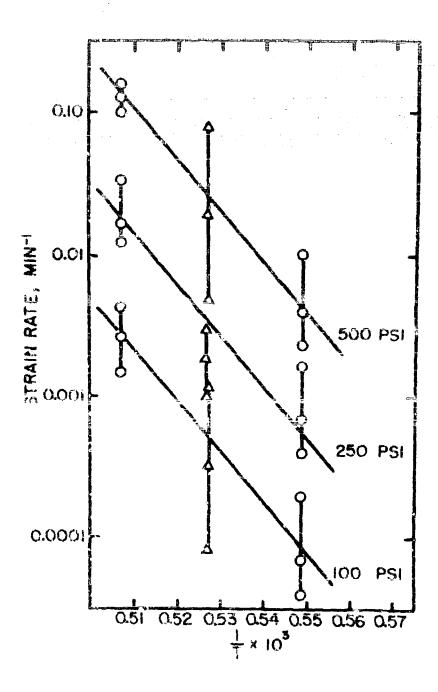


Figure 9. Semi-Logarithmic Plot of Strain Rate as a Function of Reciprocal Absolute Temperature at Applied Strasses of 100, 250, pai for polycrystallins Spinel Deformed in Bending. Midpoints represent nominal values; upper and lowest values of n.

Lively, indicative of a resecondly well behaved relationship responsive to a single sativation energy over the temperature range.

Graphical evaluations of these preliminary data provide an empirical rate equation for heading of the form

$$\dot{s} = A \cdot G^{-n} e^{-Q/RT} \tag{6}$$

miere, in this experiment

$$l = 0.375 \times 10^{15} \, \text{g}^{-2.7} \, \text{e}^{-214.158/km}$$
 (7)

The apparent activation energy, Q, has a value of approximately 214 Koal, and the preservenential, A', has a value of approximately 0.575 x 10^{15} . These values are not inconsistent with some thermally activated process involving self-diffusion of a slow soving ionic species. This experimentally derived expression only differs in one respect, the lask of a linear $\frac{1}{4}$ term, from the Meertman squatton for a creep process controlled by dislocation of the

Equation 7 can be adjusted to Meertman form by employing a typical experimental value of $\frac{1}{T}$ (0.55 x 10⁻³) as a divisor for A', yielding

$$\approx 0.68 \times 10^{18} \frac{q^{-2.7}}{1} = 2.7 \cdot 214,000/87$$
 (9)

fer high temperature bending in spiral.

Microstructural Evidences of Deformation
Microstructural studies in polycrystalline spinel are fairly

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The polycrystalline spinel tested in the bending experiments was fine grained and rather uniform in texture, with a slightly bimodal grain size distribution averaging 2 - 3/4. The matrix was quite fine with a grain size on the order of 1 - 2/4, and grains which had grown larger were only about 5/4 maximum. Figure 10 illustrates the microstructure as revealed by fracturing the material at room temperature

Replicating Solution, a product of Ladd Industries, Inc., 159 Wagon Rd., Roelyn Heights, N. Y. The solution is thinned with acetone to optimum fluidity; after drying the replica is peeled off with transparent tape.

Model HeF Universal Microscope, a product of Optische Warke C. Reichert, Vienna.

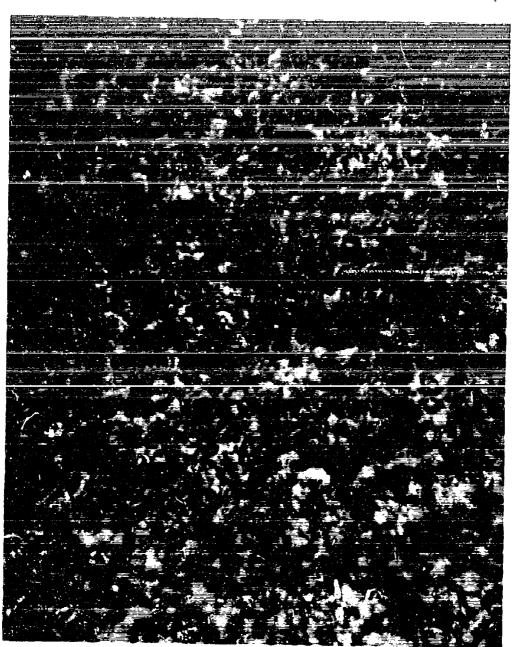


Figure 10. Microstructure of Polymystalline Spinel Prior to High Temperature Deformation. Or-shadows t replication fractograph; specimen fractured in bending at room temperature. X2200.

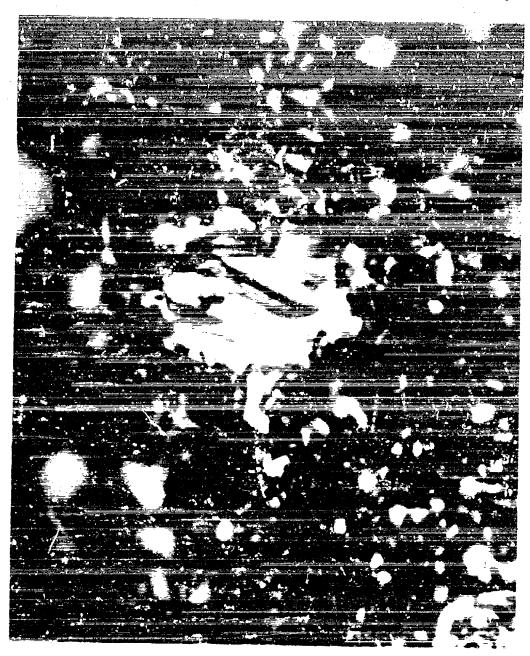
in bending. Some evidence of the pore phase (2.64) can be noted, and both trans-ond-intergrammler fracture paths are observed.

Figure 11, replicated from a specimen deformed to about its strain prior to deliberate fracture at higher strain rates at 1550°C. The lower edge of the order section in this Figure was the trace of the tension face of the bent specimen. The matrix grains were only alightly larger than those in unbeated spinel, but the larger grained fraction had grown to 10 - 25 A size, the example shows being one of the largest observed. These larger grains frequently showed evidences of interecting slip, whereas the finer matrix grains dir not, at least within the resolution of the optical microscope. Although this region had been subjected to considerable strain (normal to the picture plane) prior to frecture, there was no evidence of the development of grain boundary cracks. Finally, it should be noted that fracture was partly intergrandlar, partly transgrandlar.

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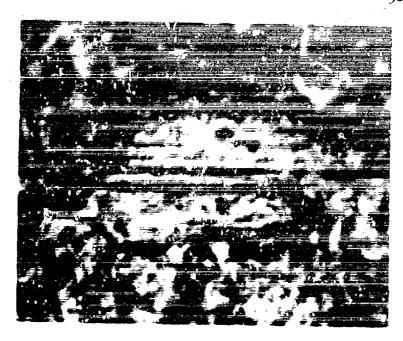
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Replicas taken from thermally stohed tension and compression surfaces confirmed the absence of intergranular cracks after extensive deformation. Hany of the larger grains showed evidences of slip bands and the onset of wavy slip indicative of plastic deformation. Two such examples taken from the tension surface of a specimen deformed at 1700°C to an outer fibor tensile strain of almost 0.05 in. in are shown in Figure 12.



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Figure 11. Microstructure of Polycrystalline Spinel After Deformation at 1550°C. Transverse section near tension surface, Cr-shadowed replication fractograph; specimen strained approximately 3%, then fractured at higher strain rate. X2200.



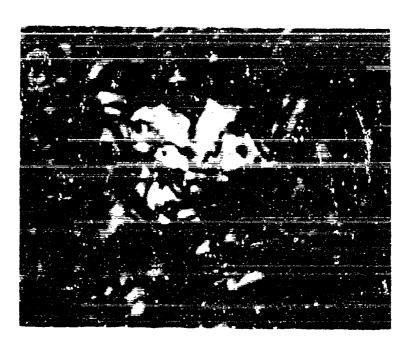


Figure 12. Microstructure of Tension Surface of Polycrystalline Spinel Bent at 1700°C. (2) Slip bands developed in large grain; (b) wavy slip developed in large grain with approximately [111] orientation. Strain direction horisontal. Crahadowed replica of gound surface thermally etched during test. X2200.

Come can categorically rule out grain boundary sliding on the ratecontrolling mechanism in bending of spinel above 1550°C on the grounds
that the boundaries were still intest after as much as five percent
strain, and because a viscous stress-strain rate dependence (n = 1)
was not observed. Mabarro-Herring diffusional crosp is also considered quite malikely on the grounds that the observed strain-ratestress coefficient was much higher than unity, and also because strain
rates employed in these bending and compression experiments exceeded
those normally observed at comparable temperatures for diffusional
crosp in ceramics? by at least two orders of magnitude.

Stress-strain relationships, including work hardening, and the kinetic analyses, provided strong support for a plastic deformation process involving hardening by dislocation interactions with steady state flow being governed by some constenacting thermally activated fectovery process. These preliminary data obtained from a relatively small number of specimens are not considered adequate for exact quantitative determinations of the values of n or Q. The values reported are adequate to demonstrate plasticity, but n is considered presently to be somewhat too low, and Q consulat too high. Choi's compressive studies, based on anny specimens, indicate that the nominal value of n for polycrystalline spinel may be as high as h or 5, and that Q may be as low as 165 heal.

He decrees in bulk density was observed, in fact, density was

discreased daring banding. Microstructural evidence also confirmed the releasion of structural integrity after extensive defereation in bearing, and provided many examples of plantically altered grains.

Other micrographs have indicated possible recrimitation by polygonisation mear grain boundaries in coarse grained spinel defermed in compression. Such observations are considered to give some preference to the Westman concept of dislocation climb as the rate-controlling mechanism for plantic flow in spinel, although the kinetic date now available are not complete enough to permit an amountained designation of the exact mechanism.

CONCLUSION

Spinel of high perity and fine grain size is a ductile cormic when deformed by banding at high temperatures. Above 1950°C, it displays attain rate consistivity, strain hardening, recovery, outcombility, and other plastic traits one normally associates with a face contered ratal. Under these conditions, it is not brittle in banding unless the strain rate is high, well in excess of 0.01 min⁻¹. However, the coast of plasticity in the 1450°C temperature range reduces useful strength to a relatively low level, not because of fracture, but because of rapid creep. This factor should be taken into account in may angineering application of pure fine grained spinel at these temperatures.

The availability of a reasonably ductile polyerystalline coranic

at experimentally—and industrially—attainable temperatures does suggest seem interesting possibilities for future investigation. One can predict with real certainty that spinel will continue to be attractive as a model material for research studies conserved with het working and strengthening of high temperature materials.

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